

HAZARDOUS WASTE TIERED PERMIT AUDIT CHECKLIST CONDITIONAL EXEMPTION-LIMITED

This document has been prepared to assist operators of facilities that treat hazardous waste on-site in performing self-audits for compliance with Conditional Exemption-Limited (CEL) Tiered Permit requirements. It is not all-inclusive. The requirements listed have been **briefly** described. The complete text of Health and Safety Code (H&SC) is available on the Internet at www.leginfo.ca.gov/calaw.html.

Requirements

Audit Date: _____

| Item No. | OK | N/A | Regulatory Citation | |
|----------|--------------------------|--------------------------|--|---|
| 1. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(7) 25201.14(c)(1) | <p>Notification - The generator must complete and submit a notification to the local Certified Unified Program Agency (CUPA) and the Department of Toxic Substances Control (DTSC) at least 60 days before start of treatment operation. This includes the following properly completed documents:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Unified Program Consolidated Form: Business Activities page; <input type="checkbox"/> Unified Program Consolidated Form: Business Owner/Operator Identification page; <input type="checkbox"/> Unified Program Consolidated Form: Onsite Hazardous Waste Treatment Notification - Facility Page; <input type="checkbox"/> Applicable Unified Program Consolidated Forms: Onsite Hazardous Waste Treatment Notification - Unit Page and attachments. |
| 2. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(1) 25201.14(c)(1) | <p>On-Site Generation - All wastes treated must have been generated on-site.</p> |
| 3. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.14(a),(b) | <p>Eligible Wastes and Processes - Types of waste and treatment methods must be appropriate for the CEL tier.</p> |
| 4. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(3) 25201.5(d)(5) 25201.14(c)(1) | <p>Operating Instructions and Record - The generator must prepare and maintain onsite for a period of three (3) years:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Written operating instructions; <input type="checkbox"/> A record of dates, amounts, and types of wastes treated. |
| 5. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(4) 25201.5(d)(5) 25201.14(c)(1) | <p>Inspection Schedule and Log - The generator must prepare and maintain onsite for a period of three (3) years:</p> <ul style="list-style-type: none"> <input type="checkbox"/> A written inspection schedule; <input type="checkbox"/> A log of inspections conducted. |
| 6. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(6) 25201.14(c)(1) | <p>Wastewater Discharge Records - The generator must maintain adequate records to demonstrate compliance with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Applicable pretreatment standards; <input type="checkbox"/> Industrial wastewater discharge requirements issued by the local POTW. |
| 7. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.14(c)(3) | <p>Oil/Water Separator Sampling and Analysis Records - For oil/water separators, generators must maintain waste sampling and analysis records to demonstrate that contaminated groundwater, gasoline, or certain diesel-contaminated water are not treated in the system.</p> |
| 8. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25202.9 | <p>Waste Minimization Certification - The generator must prepare an annual hazardous waste minimization certification which states that a program has been established to reduce the quantity or toxicity of hazardous waste to a degree that is economically feasible, and that waste treatment, storage, and disposal is performed in accordance with that program.</p> |

Tiered Permit Audit Checklist: Conditional Exemption-Limited - Page 2 of 2

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| 9. | <input type="checkbox"/> | <input type="checkbox"/> | H&SC 25201.5(d)(8) 25201.14(c)(1) |

Closure - Upon terminating operation of the treatment unit, the generator must:

- Remove or decontaminate equipment, waste residues, containment systems, soils and structures in accordance with the local fire agency;
- Submit a notification to the CUPA and DTSC after all closure requirements are met.