HAZARDOUS WASTE TIERED PERMIT AUDIT CHECKLIST
CONDITIONAL EXEMPTION-LIMITED

This document has been prepared to assist operators of facilities that treat hazardous waste on-site in performing self-audits for compliance with Conditional Exemption-Limited (CEL) Tiered Permit requirements. It is not all-inclusive. The requirements listed have been briefly described. The complete text of Health and Safety Code (H&SC) is available on the Internet at www.leginfo.ca.gov/calaw.html.

Requirements

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| 1.       |    |     | H&SC 25201.5(d)(7) 25201.14(c)(1) | Notification - The generator must complete and submit a notification to the local Certified Unified Program Agency (CUPA) and the Department of Toxic Substances Control (DTSC) at least 60 days before start of treatment operation. This includes the following properly completed documents:  
  - Unified Program Consolidated Form: Business Activities page;  
  - Unified Program Consolidated Form: Business Owner/Operator Identification page;  
  - Unified Program Consolidated Form: Onsite Hazardous Waste Treatment Notification - Facility Page;  
  - Applicable Unified Program Consolidated Forms: Onsite Hazardous Waste Treatment Notification - Unit Page and attachments. |
| 2.       |    |     | H&SC 25201.5(d)(1) 25201.14(c)(1) | On-Site Generation - All wastes treated must have been generated on-site. |
| 3.       |    |     | H&SC 25201.14(a),(b) | Eligible Wastes and Processes - Types of waste and treatment methods must be appropriate for the CEL tier. |
| 4.       |    |     | H&SC 25201.5(d)(3) 25201.5(d)(5) 25201.14(c)(1) | Operating Instructions and Record - The generator must prepare and maintain onsite for a period of three (3) years:  
  - Written operating instructions;  
  - A record of dates, amounts, and types of wastes treated. |
| 5.       |    |     | H&SC 25201.5(d)(4) 25201.5(d)(5) 25201.14(c)(1) | Inspection Schedule and Log - The generator must prepare and maintain onsite for a period of three (3) years:  
  - A written inspection schedule;  
  - A log of inspections conducted. |
| 6.       |    |     | H&SC 25201.5(d)(6) 25201.14(c)(1) | Wastewater Discharge Records - The generator must maintain adequate records to demonstrate compliance with:  
  - Applicable pretreatment standards;  
  - Industrial wastewater discharge requirements issued by the local POTW. |
| 7.       |    |     | H&SC 25201.14(c)(3) | Oil/Water Separator Sampling and Analysis Records - For oil/water separators, generators must maintain waste sampling and analysis records to demonstrate that contaminated groundwater, gasoline, or certain diesel-contaminated water are not treated in the system. |
| 8.       |    |     | H&SC 25202.9 | Waste Minimization Certification - The generator must prepare an annual hazardous waste minimization certification which states that a program has been established to reduce the quantity or toxicity of hazardous waste to a degree that is economically feasible, and that waste treatment, storage, and disposal is performed in accordance with that program. |
### Item 9.

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<td>9.</td>
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<td>H&amp;SC 25201.5(d)(8)</td>
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<td>25201.14(c)(1)</td>
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**Closure** - Upon terminating operation of the treatment unit, the generator must:

- [ ] Remove or decontaminate equipment, waste residues, containment systems, soils and structures in accordance with the local fire agency;
- [ ] Submit a notification to the CUPA and DTSC after all closure requirements are met.